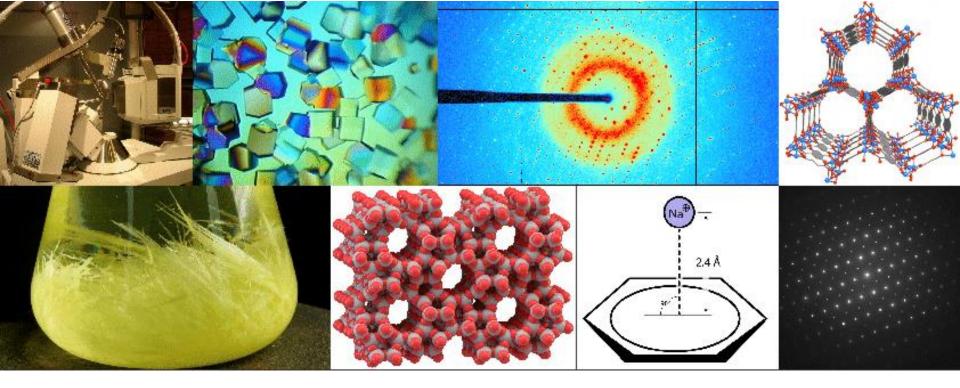


Programa de Actualización | ANUAL | 2018

# CARACTERIZACIÓN ESTRUCTURAL Y ANÁLISIS DE PROPIEDADES DE SÓLIDOS CRISTALINOS: POLIMORFOS, SOLVATOS, COCRISTALES Y SALES

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Programa de Actualización | Marzo - Abril | 2018

Módulo 1: Estructura molecular. Tipos de sólidos. Interacciones intermoleculares. Redes cristalinas, elementos y operaciones de simetría en sólidos cristalinos.

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### MOTIVATION

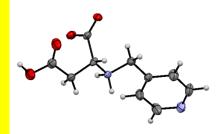
- To understand the concepts related to the process of crystallization and crystal growth and its practical application.
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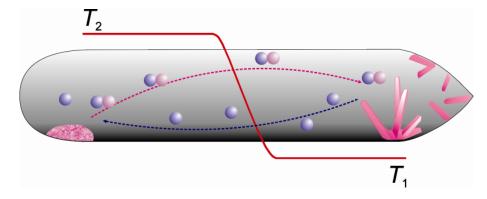
## ■ How can we get a crystal?

#### FROM SOLUTION

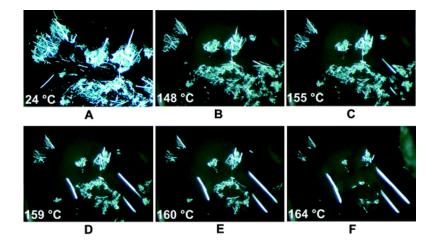




#### **FROM VAPOR**



#### **FROM MELT**



**SOLUTION.** Homogeneous liquid phase containing the solute dissolved in the solvent

**SOLUBILITY.** It is the property of a solid, liquid, or gaseous substance called <u>solute</u>, to dissolve in a solid, liquid, or gaseous <u>solvent</u>. The solubility depends on the physical and chemical properties of the solute and solvent as well as, on temperature, pressure and the pH of the solution.

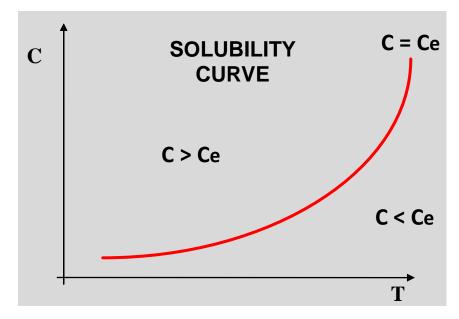
#### SATURATION CONCENTRATION or EQUILIBRIUM CONCENTRATION (Ce).

The extent of the solubility of a substance in a specific solvent is measured as the saturation concentration, where adding more solute does not increase the concentration of the solution and begins to

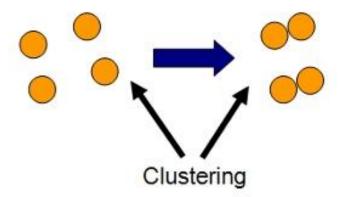
precipitate the excess amount of solute (maximum amount of solute that can be dissolved in certain solvent at a given T & p)

#### **CONDITION FOR CRYSTAL GROWTH:**

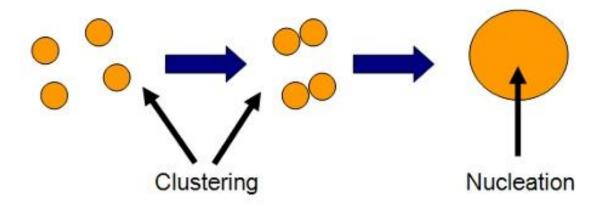
C > Ce => "SUPERSATURATION CONDITION"



- 1. Supersaturation
- 2. Nucleation
- 3. Crystal Growth



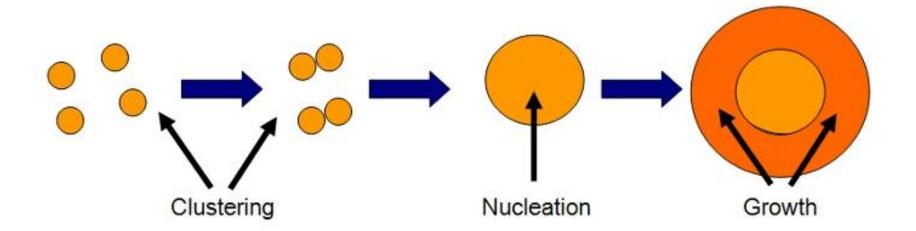
- 1. Supersaturation
- 2. Nucleation
- 3. Crystal Growth



- 1. Supersaturation
- 2. Nucleation
- 3. Crystal Growth

#### **SUMMARY**

**Supersaturation** is critical because it is the driving force for crystal nucleation and growth. **Nucleation** is the birth of new crystal nuclei. **Crystal growth** is the increase in size of crystals as solute is deposited from solution.



### 1. Supersaturation

**Definition:** is a state of a **solution** that contains more of the dissolved material (solute) than could be dissolved by the solvent under normal circumstances.

It is considered the "Driving Force" for **Crystal Nucleation & Growth** 

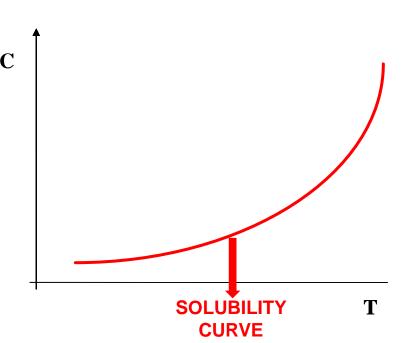
- ⇒ It is a very important variable
- ⇒ The quality of the crystals depends on the supersaturation

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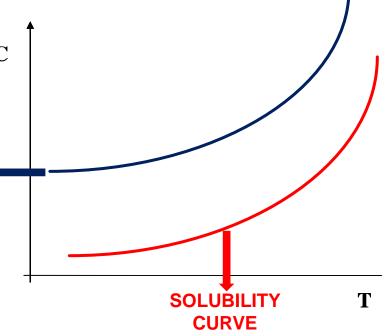
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**SUPERSATURATION** OR CRYSTALLIZATION **CURVE** 



### 1. Supersaturation

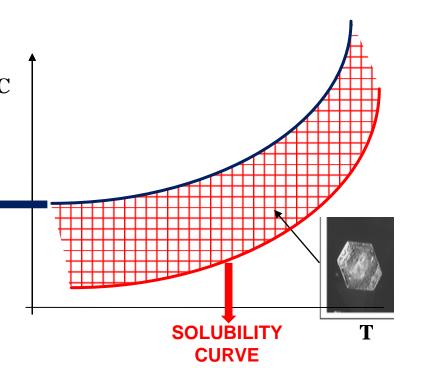
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**SUPERSATURATION** OR CRYSTALLIZATION **CURVE** 

**GOAL: to obtain** single crystals



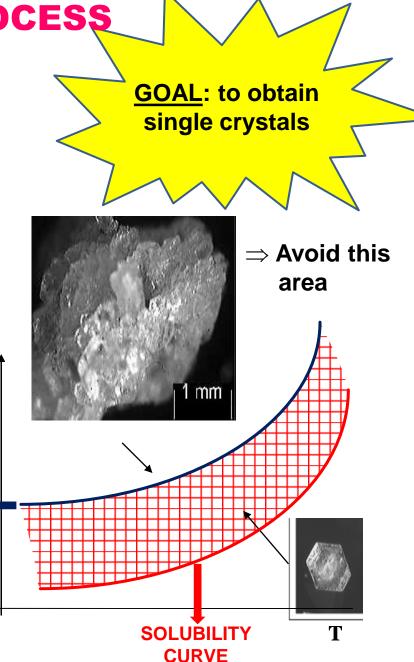
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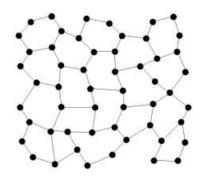
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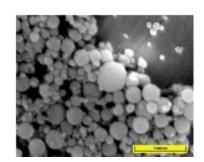
SUPERSATURATION OR CRYSTALLIZATION CURVE



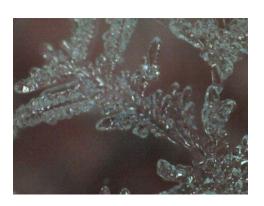
# Effect of the degree of supersaturation on the crystal quality/form

■ Very high supersaturation AMORPHOUS MATERIAL





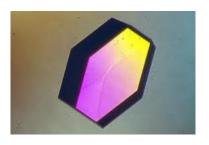
■ High supersaturation
DENDITRIC CRYSTALS
Aggregates of small crystals



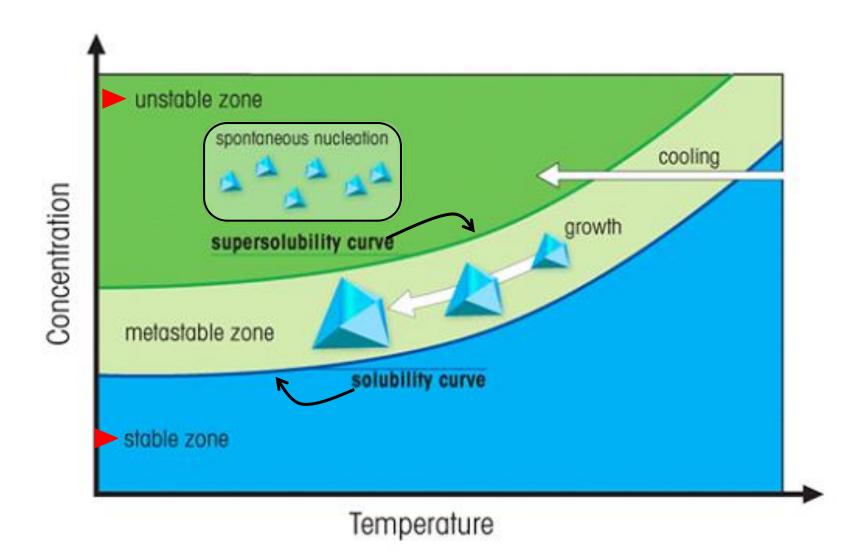


■ Low or intermediate supersaturation SINGLE CRYSTALS



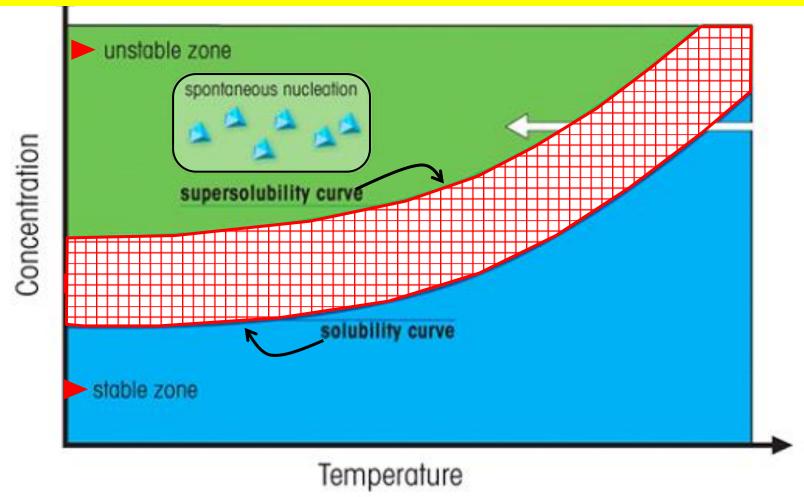


### Effect of the degree of supersaturation on the crystal quality/form



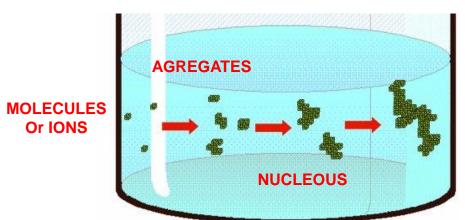
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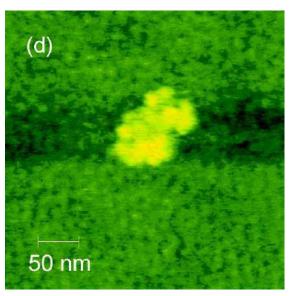
**CONCLUSION:** Stay in the area between the solubility curve and crystallization curve in order to get a **GOOD SINGLE CRYSTAL** 



### 2. Nucleation

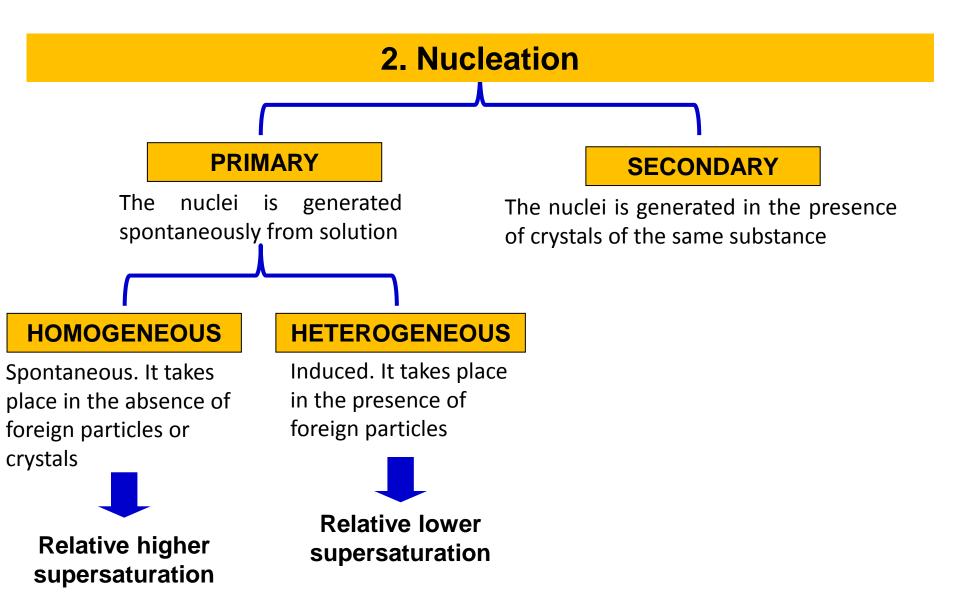
Definition. Nucleation is the first step in the formation of either a new thermodynamic phase via self-assembly or self-organization. The previous phase is metastable, and thus nucleation is the initial step of this new stable phase. Nucleation is the then, the first step in the formation of a crystal.



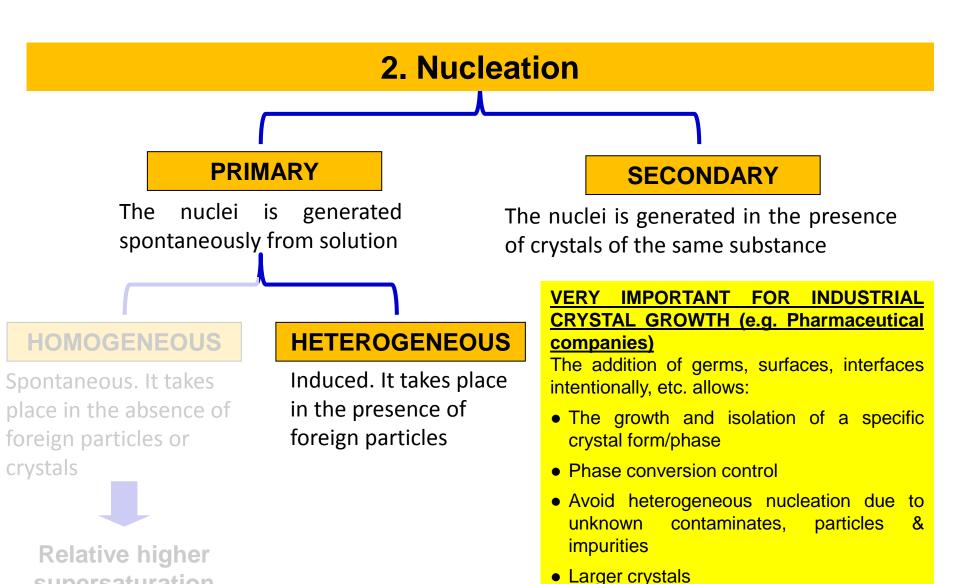


Cluster of about 20 molecules of apoferrin (Yauand Vekilov, *Nature*, **2000**).

**CRYSTALS** 



supersaturation

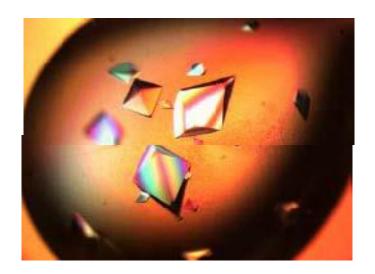


### 3. Crystal Growth

**Definition.** Crystal growth is the increase in size of crystals as solute is deposited from solution.

It depends on the interaction between the solute and solvent and other factors related with the processes developed in the "growing crystal".





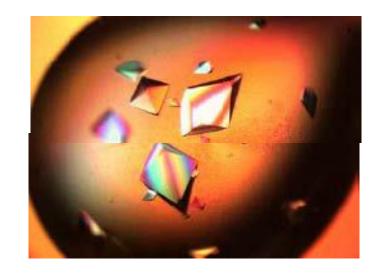
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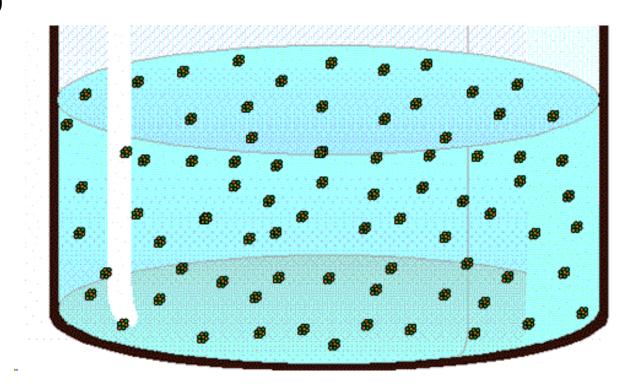
**GOAL:** to obtain single crystals

So, take in mind the idea of a ordered crystal with certain periodicity to analyze the steps related with the crystal growth

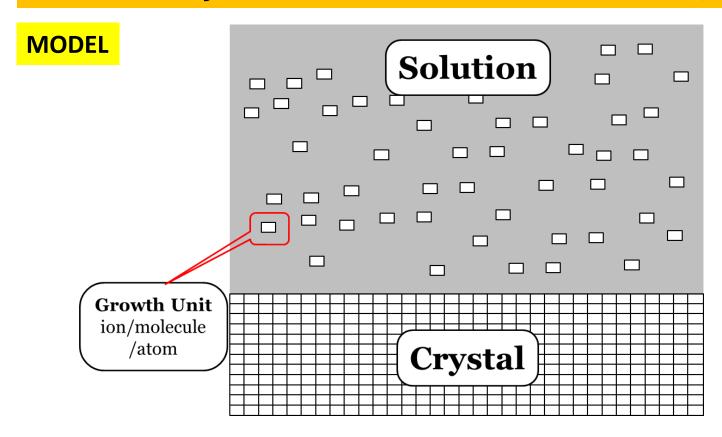


### 3. Crystal Growth

(Animación)



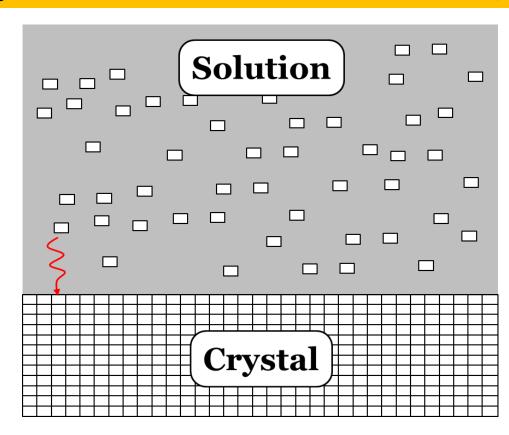
3. Crystal Growth: How does the crystal growth?



### 3. Crystal Growth: How does the crystal growth?

MODEL

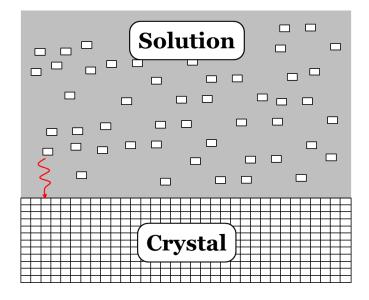
**Process that** involves several steps that can be reduced to two main ones:



- The transport of growth units from the bulk solution towards the crystal face;
- The interactions taking place at the crystal interface until the growth units move themselves into a lattice position minimizing the reticular energy and contributing to forming a perfect crystal.

### 3. Crystal Growth: How does the crystal growth?

#### **MODEL**

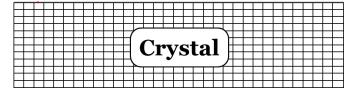


**N**: number of growth units

**J**: rate (number of growth units per unit time)

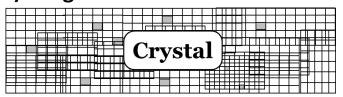
#### Possible scenarios

#### Small value for J



Perfectly ordered crystal (single crystal)

#### Higher value for J



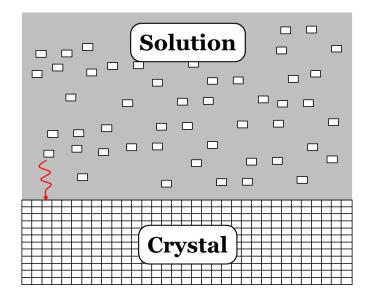
Crystal with a clear degree of disorder (presence Vacancies, dislocations, and boundary grains will appear)

Very large value of J

**Amorphous material** 

### 3. Crystal Growth: How does the crystal growth?

#### **MODEL**



#### **Comment**

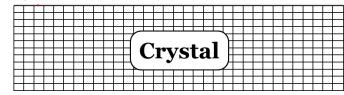
Material in **B)** might be divided into a number of regions, **M**, made up of growth units that are perfectly arranged as regards the pattern, but slightly disoriented with respect to the neighboring regions. This number **M** is related to the term mosaicity and increases with **J** 

N: number of growth units

**J**: rate (number of growth units per unit time)

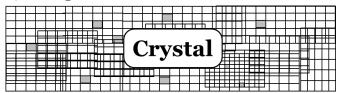
#### Possible scenarios

#### A) Small value for J



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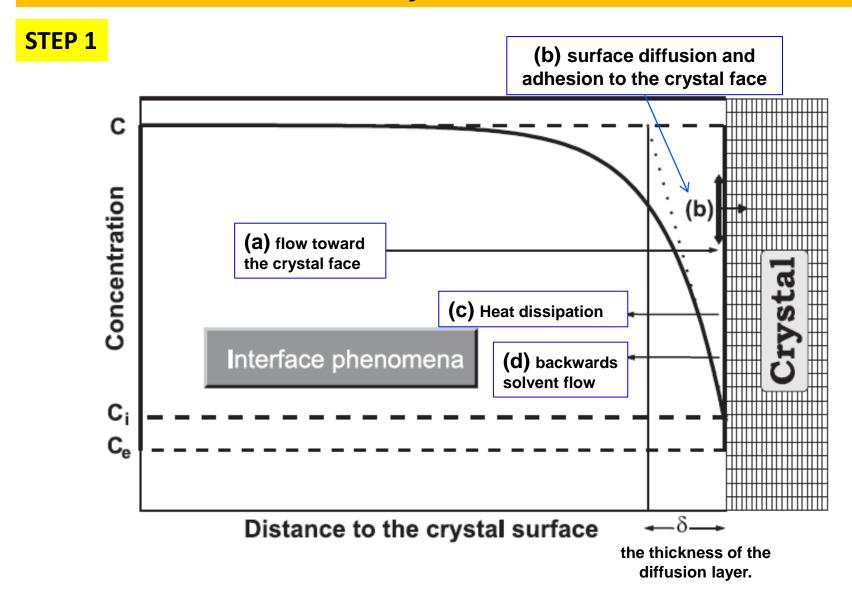
#### B) Higher value for J

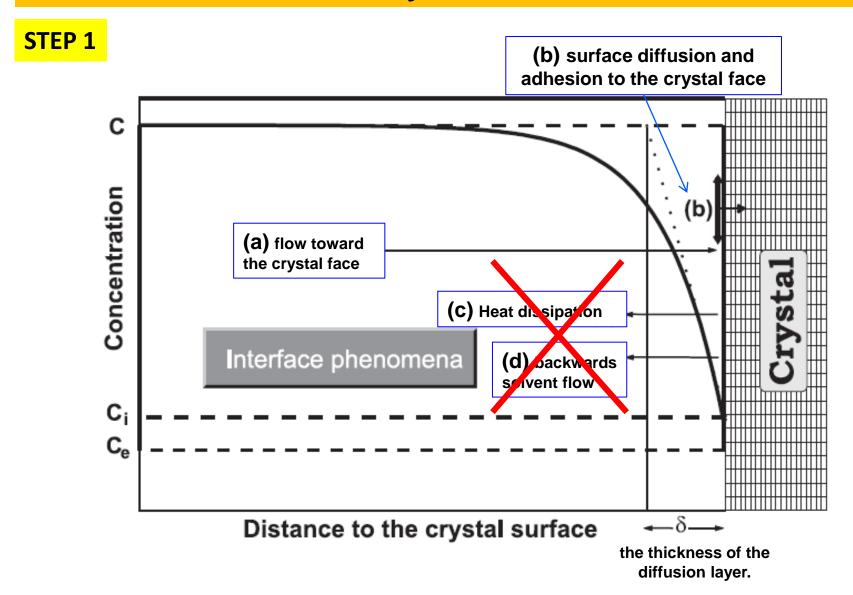


Crystal with a clear degree of disorder (presence Vacancies, dislocations, and boundary grains will appear)

C) Very large value of J

**Amorphous material** 





#### STEP 1

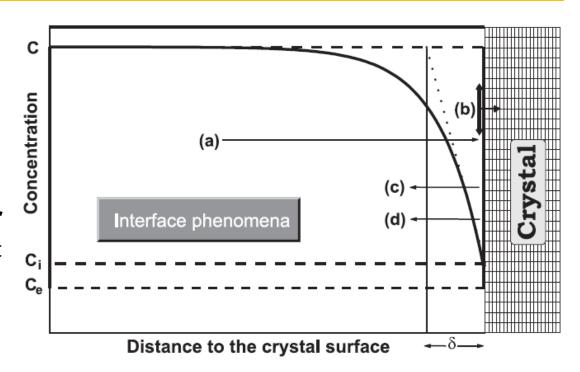
#### **Analysis**

- C = C at the bulk
- Volume of the crystal ↑
- C at the neighboring  $\psi$  (=  $C_i$ )
- => a C difference is formed ( $\Delta C$ =  $C \ C_i$ ) and thus, a C gradient ( $\Delta C/\Delta x$ )
- Flow of the particles follows Fick's first law of diffusion:

$$J_D = -D\frac{\Delta C}{\Delta x}$$

D = diffusion constant (for small molecules ~  $10^{-5}$  cm<sup>2</sup>s<sup>-1</sup>, macromolecules ~  $10^{-7}$  cm<sup>2</sup>s<sup>-1</sup>)

 $J_D$  = flow of the particules ("rate")



#### STEP 1

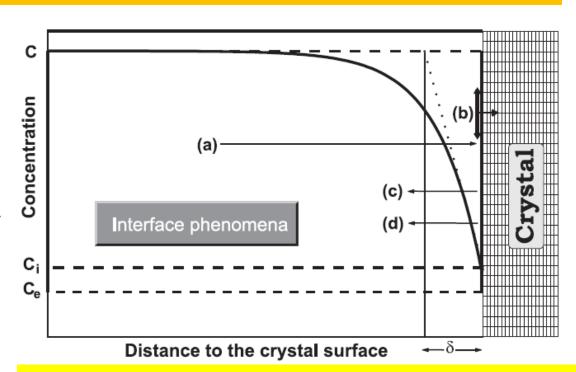
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### **OBJECTIVE:** J small enough to have good crystals

- ⇒ To ensure that diffusion takes control of the mass transport, other mechanisms such as, convection must be prevented
- ⇒ IN THE LAB: porous media, high viscosity fluids, thin capillary volumes, low gravity, crystal growth in gels...(We will see crystal growing methods in a few slides)

#### STEP 1

#### **Analysis**

Flow of the particles follows Fick's first law of diffusion:

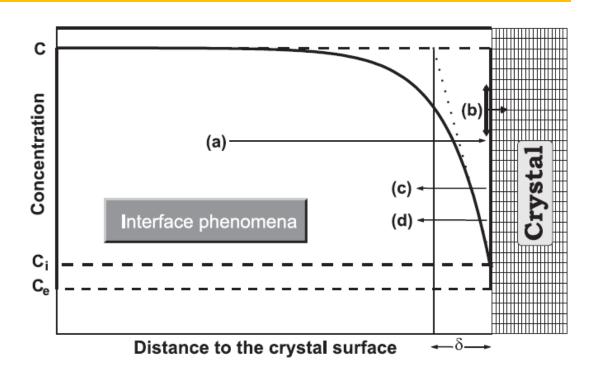
$$J_D = -D\frac{\Delta C}{\Delta x}$$

D = diffusion constant

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• Approximation of the mass transfer rate dm/dt form the bulk (at  $C_i$ ) to the crystal surface (at  $C_i$ ):

$$\frac{dm}{dt} = k_D (C - C_i)^d$$



 $k_D = D/\delta$  d = kinetic order

#### STEP 1

#### **Analysis**

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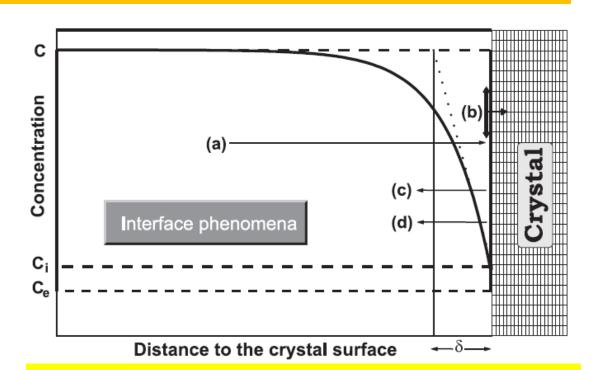
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$$k_D = D/\delta$$
  $d$  = kinetic order



#### **QUESTIONS**

how slow should the mass transfer be in order to grow a perfect crystal?

What happen when the growth units arrives the surface?

Does the crystal growth depends on the growth unit identity?

#### STEP 1

#### **Analysis**

 Approximation of the mass transfer rate dm/dt form the bulk to the crystal surface :

$$\frac{dm}{dt} = k_D (C - C_i)^d$$

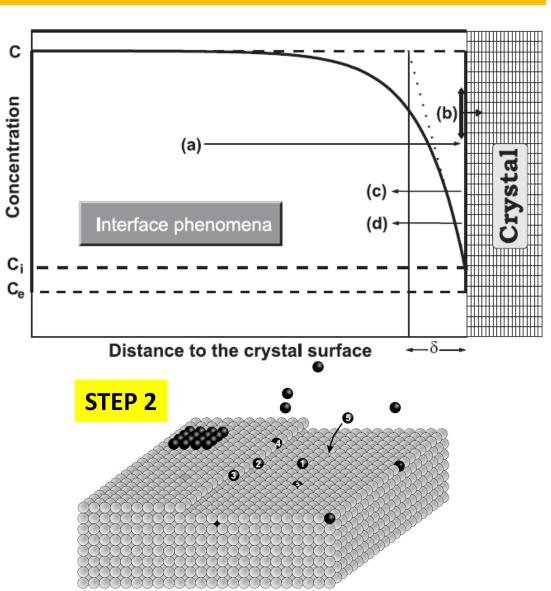
 $k_D = D/\delta d = \text{kinetic order}$ 

#### STEP 2

The rate of transport of the growth units onto the crystal surfaces depends on considerations of energetics. The mass deposition is controlled by:

$$\frac{dm}{dt} = k_r (C_i - C_e)^r$$

 $k_r$  = kinetic coef. That depends on the surface roughness r = kinetic order  $C_e$  = equilibrium C



#### STEP 1

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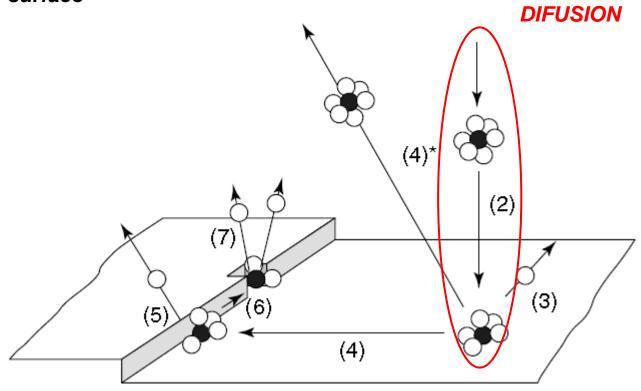
#### **CONCLUSION 1**

The compromise between the kinetics of the processes taking place on the crystal surface and those of the transport of growth units toward this surface determines the quality of the growing crystal. Thus, crystals growing at a rate controlled by mass diffusion will contain a low density of defects, have reduced mosaicity, and presumably will diffract X-rays with better resolution.

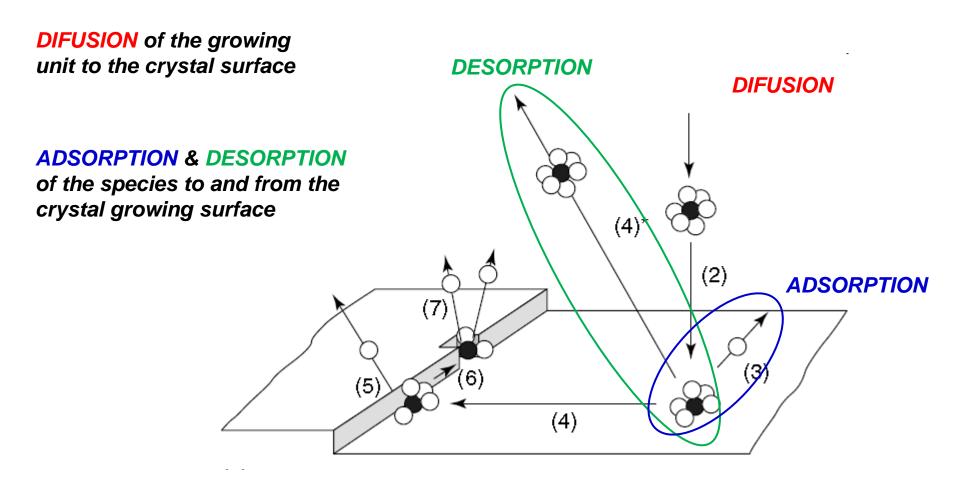
To ensure that <u>diffusion takes control of the mass</u> <u>transport</u>, other mechanisms such as, convection must be prevented.

All the involved mechanisms at glance

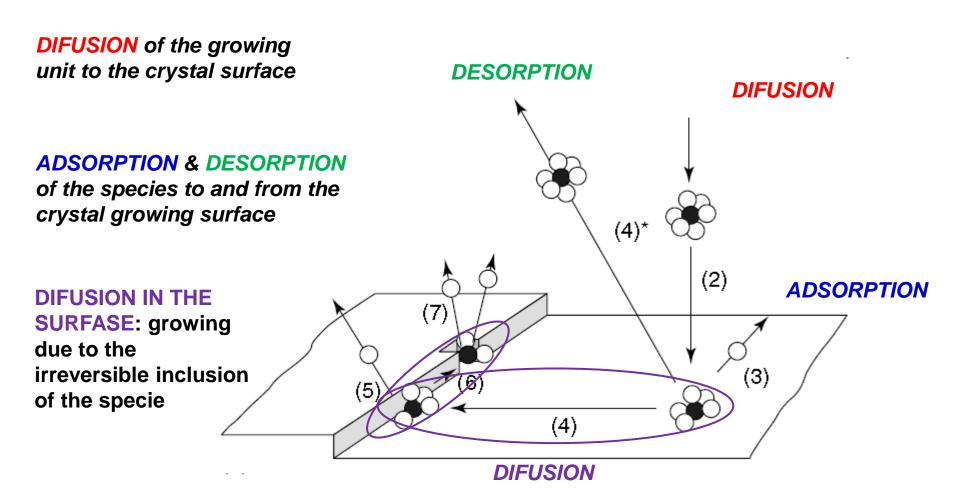
**DIFUSION** of the growing unit to the crystal surface



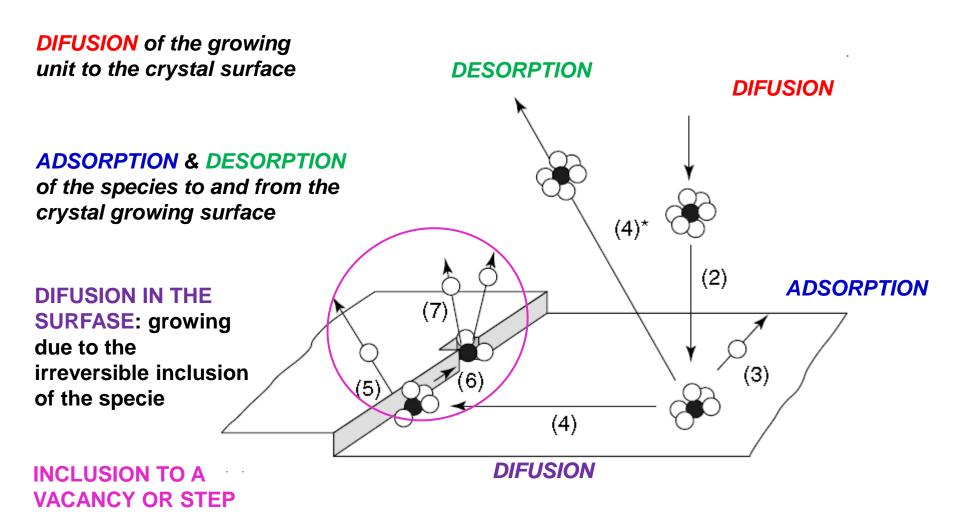
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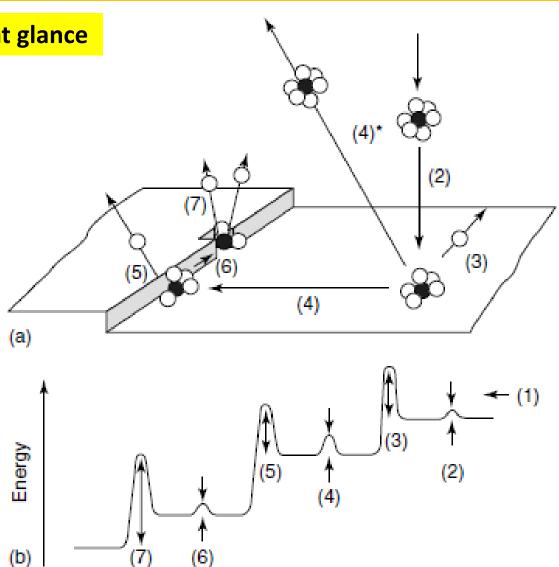


All the involved mechanisms at glance

# Processes involved in the crystal growth:

(1) Transport of solute to a position near the crystal surface; (2) diffusion through boundary layer; (3) adsorption onto crystal surface; (4) diffusion over the surface; (4\*) desorption from the surface; (5) attachment to a step or edge; (6) diffusion along the step or edge; (7) Incorporation into kink site or step vacancy

Associated energy changes for the processes depicted above



#### **CONCLUSION 1. The importance of the diffusion control**

The compromise between the kinetics of the processes taking place on the crystal surface and those of the transport of growth units toward this surface determines the quality of the growing crystal. Thus, crystals growing at a rate controlled by mass diffusion will contain a low density of defects, have reduced mosaicity, and presumably will diffract X-rays with better resolution.

To ensure that <u>diffusion takes control of the mass transport</u>, other mechanisms such as, convection must be prevented.

# CONCLUSION 2. The relationship between SUPERSATURATION, NUCLEATION AND CRYSTAL GROWTH

Supersaturation is critical because it is the driving force for crystal nucleation and growth. Nucleation is the birth of new crystal nuclei – either spontaneously from solution (primary nucleation) or in the presence of existing crystals (secondary nucleation). Crystal growth is the increase in size of crystals as solute is deposited from solution. These often competing mechanisms ultimately determine the final crystal size distribution and quality.

#### **CONCLUSION 2. The relationship between SUPERSATURATION, NUCLEATION** AND CRYSTAL GROWTH

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Simple kinetic equations to represent the nucleation (not considered before) and the crystal growth (already analyzed). Both equations depends on the concentration, thus on the degree of supersaturation.

$$v_n = k_n \Delta C^n$$

 $v_n$  = nucleation rate

 $k_n$  = growth constant

n = nucleation order  $\Delta C = \text{supersaturation}$ 

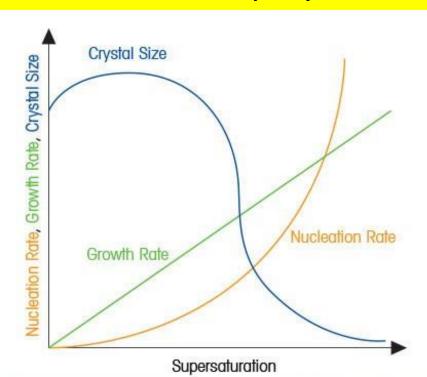
$$v_g = k_g \Delta C^g$$

 $v_{g}$  = growth rate

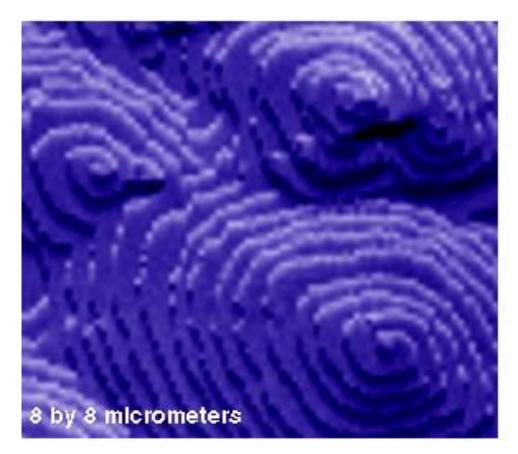
 $k_g$  = growth constant

g = growth order

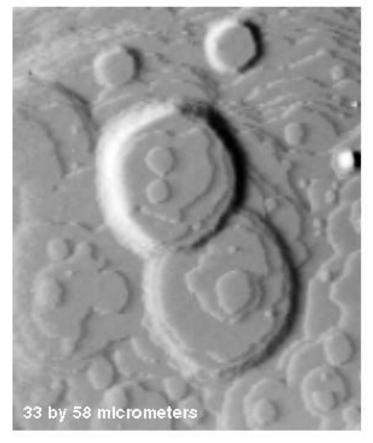
 $\Delta C$  = supersaturation



#### **Examples of crystal growing analyzed by AFM microscopy**

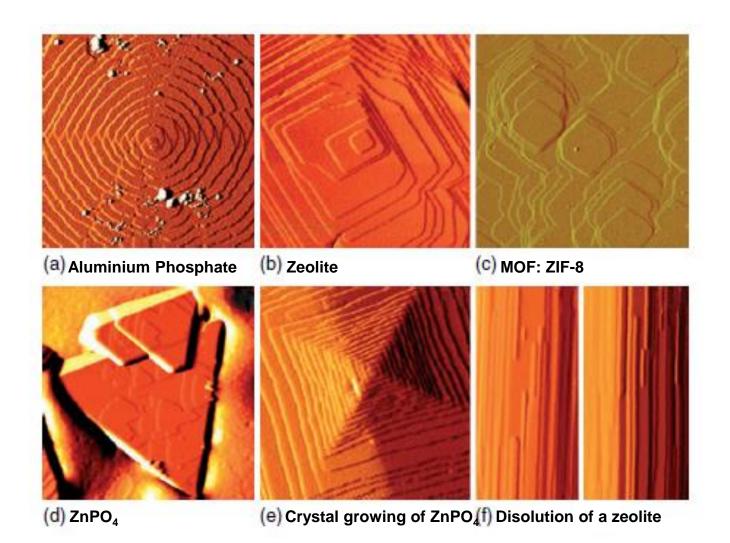


LOW SUPERSATURATION



MIDIUM-HIGH SUPERSATURATION

#### **Examples of crystal growing analyzed by AFM microscopy**



#### Summary of the variables to take into account

- Growing unit = compound (identity of the specie: size, M, king of posible intermolecular interactions...)
- Solvent
- Supersaturation (& its relationship with nucleation and growing rates)
- Diffusional control
- Convection
- Thermal instability
- Temperature
- Presence of impurities
- Time

## **VARIABLE:** compound ("crystal unit")

- 1. Combine knowledge of solubility profile with crystal growing techniques
- **2. Purify your compound** (using conventional crystallization and/or other purification steps)
- 3. Consider the empirically established physical properties of your compound sensitivities, thermal stability, etc.
- 4. Develop a solubility profile of your compound
- 5. Impure samples do not recrystallize as well as pure samples
- 6. Recrystallization minimizes the presence of foreign insoluble material which increases the number of nucleating sites
- 7. Successive crystallizations purify the compound
- 8. Always use recrystallized material when setting up a crystal growing attempt

#### STUDY OF THE CRYSTALLIZATION PROCESS

#### **VARIABLE: Solvent**

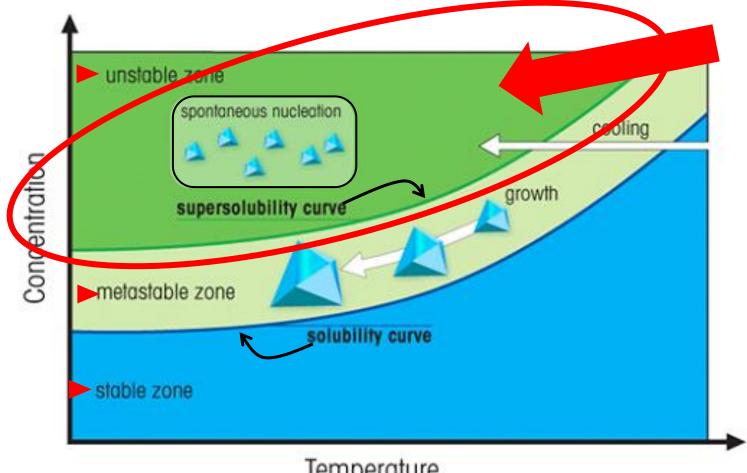
- Solvent influences in the crystal growth
- It can be incorporated into the crystalline network
- Useful rule: use the least amount of solvent in the experiments
- Golden Rule: "similar dissolves similar" 4.
- **Explore several solvents and mixtures** 5.
- Moderate solubility is best (avoid supersaturation)
- Hydrogen bonding can help or hinder crystallization.
- **Avoid highly volatile solvents**
- 9. Avoid long chain alkyl solvents, they can be significantly disordered in crystals. Choose solvents with "rigid geometries" (e.g. toluene)



#### STUDY OF THE CRYSTALLIZATION PROCESS

#### **VARIABLE:** supersaturation

**EXAMPLE:** High supersaturation => Unstable Zone



Temperature

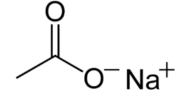
#### **VARIABLE:** supersaturation

**EXAMPLE:** High supersaturation => Unstable Zone

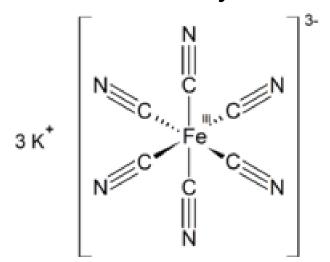
Characteristic of the crystals: Dendrites (aggregates)

■ Sodium Acetate

VIDEO 1



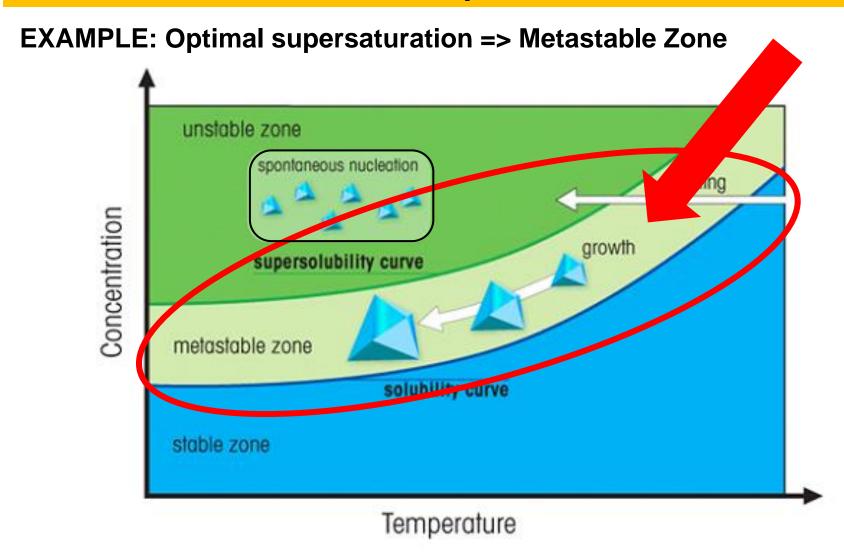
■ Potassium Ferricyanide



VIDEO 2

#### STUDY OF THE CRYSTALLIZATION PROCESS

#### **VARIABLE:** supersaturation



#### **VARIABLE:** supersaturation

**EXAMPLE: Optimal supersaturation => Metastable Zone** 

Characteristic of the crystals: Single crystals (superficial or bidimensional growing)



■ Protein VIDEO 3

■ **Perovskites** (hybrid organic-inorganic material) [CH<sub>3</sub>NH<sub>4</sub>][PbI<sub>3</sub>] and [CH<sub>3</sub>NH<sub>4</sub>][PbBr<sub>3</sub>]

http://www.nature.com/ncomms/2015/150706/ncomms8586/full/ncomms8586.html

#### **VARIABLE:** diffusional control / convection

- **1. Do not perturb the system** (keep crystal growth vessels away from sources of mechanical agitation )
- 2. Avoid areas with vibrations, mechanical disturbances are bad (Set-up away from vacuum pumps, rotovaps, hoods, doors, drawers, and so on)
- 3. Use small diameter vials and tubes
- 4. Explore gel crystallization (see next slides)

#### **VARIABLE:** temperature

- 1. Take into account that usually solubility is highly influenced by T. So, the stent of supersaturation (and thus the nucleation and growing rate) is influenced by T
- 2. Study the thermal stability of your system before study the crystallization process. Take into account your system could exhibit different crystalline phases.

#### **VARIABLE:** impurities

- 1. Unless desired, avoid the presence of impurities. They will favor nucleation
- 2. Avoid ambient dust, filter paper fibers, hair, broken off pipette tips all provide opportunities for nucleation take steps to remove them.
- 3. Use CLEAN glassware as crystal growing vessels
- 4. Before setting up a crystal growing attempt think about how the crystals will be handled
- 5. Crystals will need to extracted from the vessel without damage
- 6. Therefore, pick a suitable crystal growing vessel

#### **VARIABLE:** time

- 1. Quality crystals grow best over time in near equilibrium conditions
- 2. The longer the time, the better the crystals
- 3. Larger crystals tend to grow at the expense of smaller crystals
- 4. Patience, patience, patience!!

#### 1. Crystal growing in solution

- **Slow evaporation**
- Slow cooling
- Vapor diffusion
- Solvent diffusion
- convection
- Addition of additives, pH
- Solvothermal/hydrothermal (p, T, sv)
- **Chemical modification**

#### 2. Crystal growing without solvent

- From melt
- **Sublimation**
- 3. Seeding
- 4. Gel Crystallization
- 5. Chemical Modification (change of counterion, formation of salt)

## 1. Crystal growing in solution

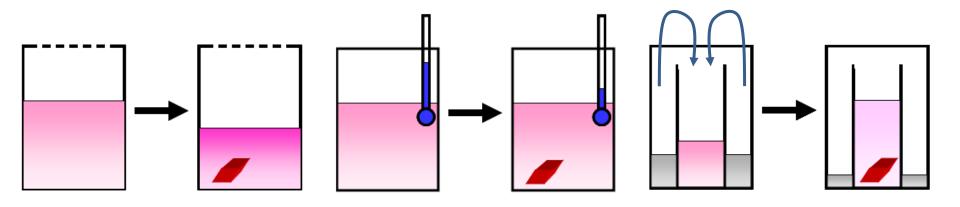
**✓** Solvent

Pure, mixtures, polarity, volatility

- **✓** Techniques
  - Slow evaporation

Slow cooling

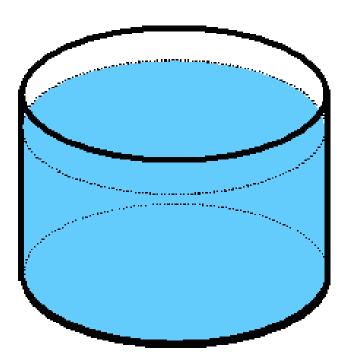
Vapor diffusion



## 1. Crystal growing in solution

- **Techniques**
- Slow evaporation

(animación)



## 1. Crystal growing in solution

Slow evaporation systems





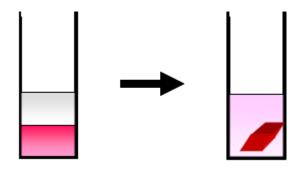
**Vapor diffusion** 

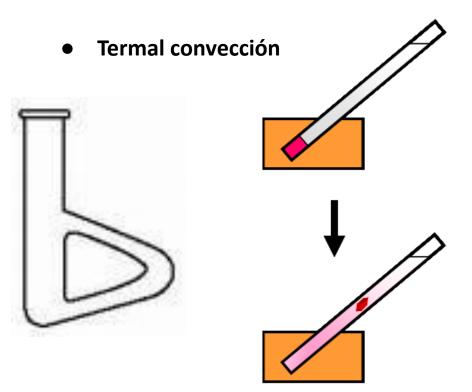


#### 1. Crystal growing in solution

#### **✓** Techniques

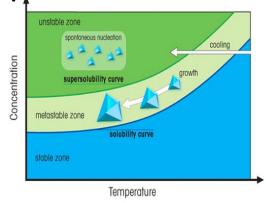
Solvent diffusion



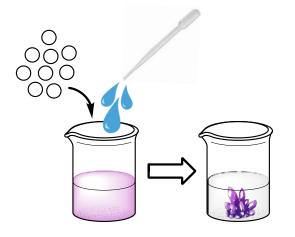


## 1. Crystal growing in solution

- Other methods and strategies
  - Supersaturation control



Addition of anti-solvent / additives
 ("salting out")



pH variation



Hydrothermal/solvothermal



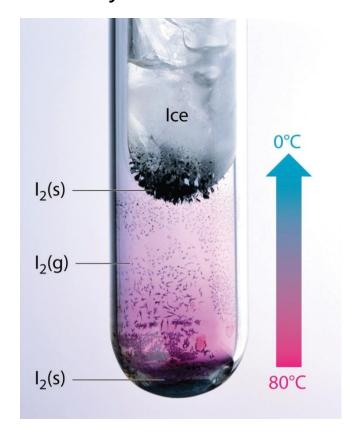
#### 2. Crystal growing without solvent

From melt

# 24 °C A B C 155 °C C C 159 °C D E F

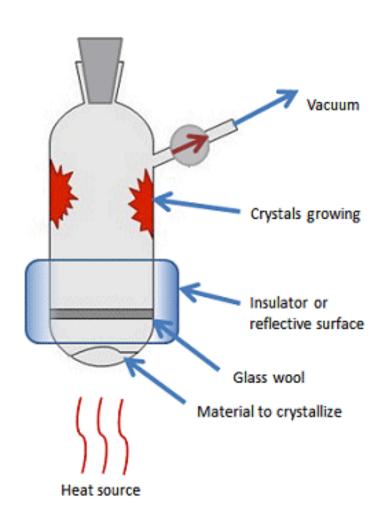
#### Sublimation

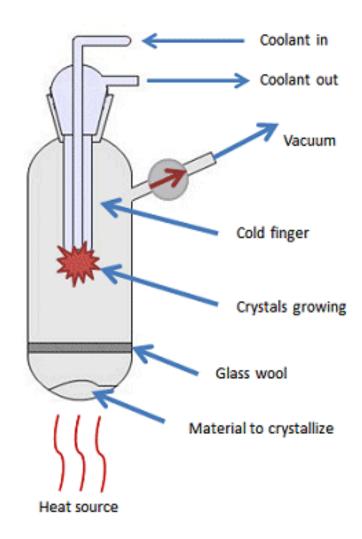
Ej. caffeine, Sulphur, iodine, salicylic acid



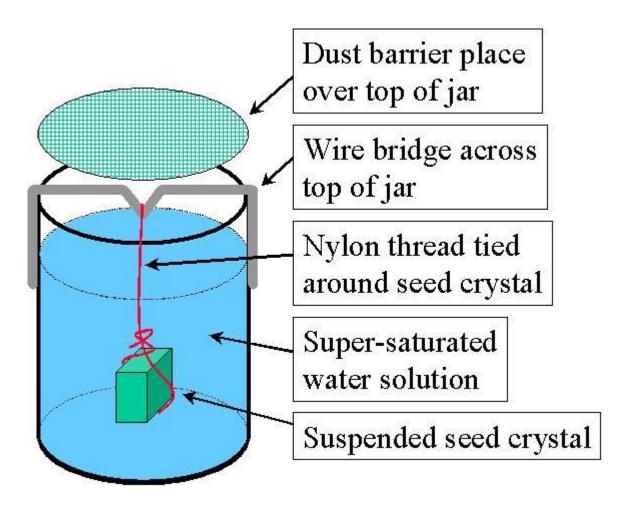
#### 2. Crystal growing without solvent

Laboratory sublimation system

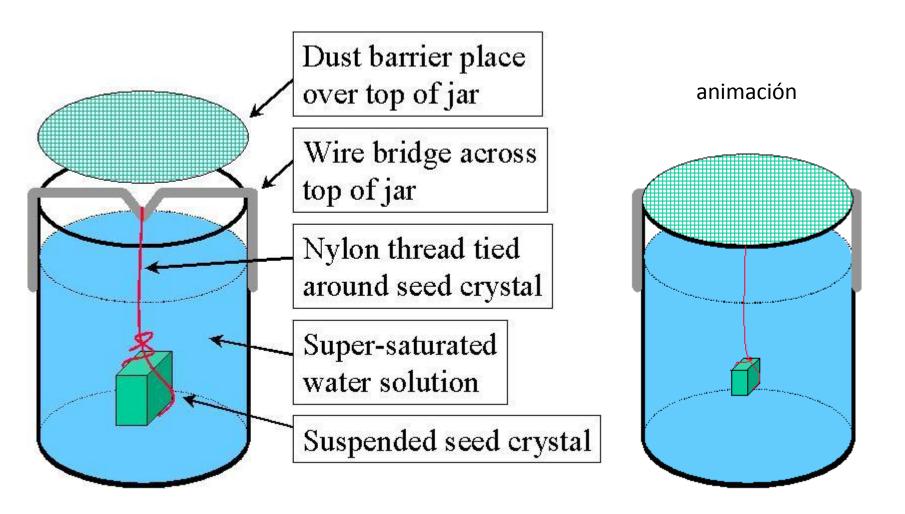




#### 3. Seeding

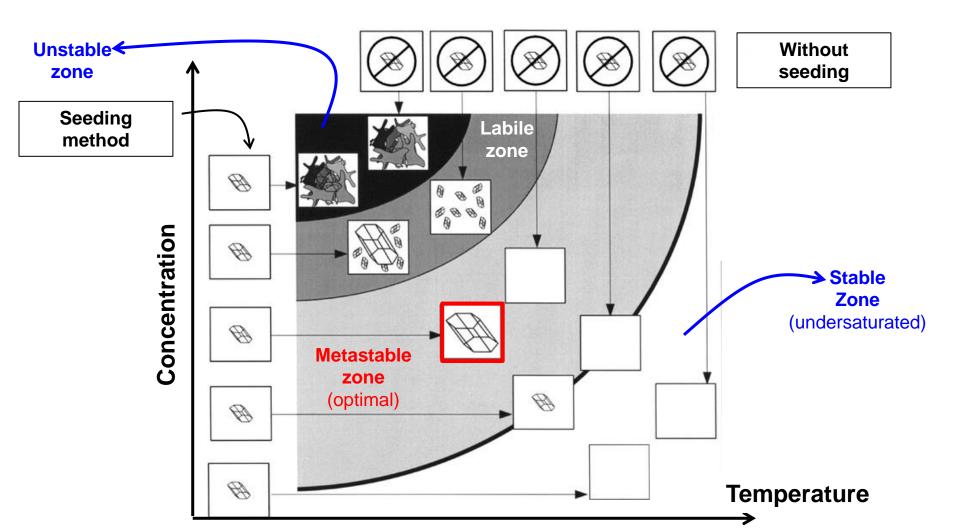


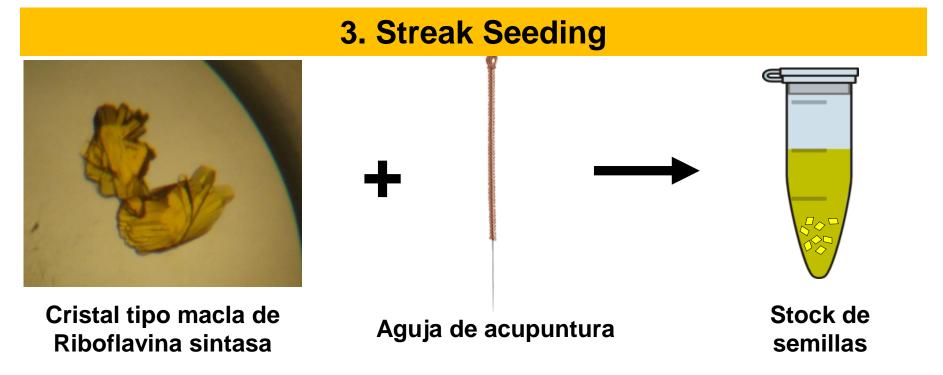
## 3. Seeding



#### 3. Seeding

Solubility and crystallization curves to analyze the optimal conditions





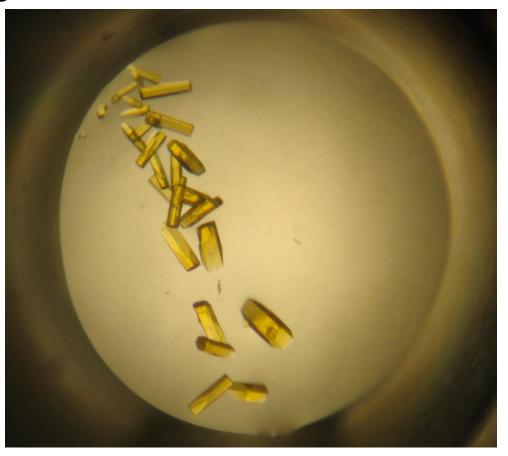


"Seeding tool" casera con pelo de pony

Cortesía: Dr. Sebastián Klinke, Instituto Leloir-CONICET, Buenos Aires Argentina

#### 3. Streak Seeding

Streak seeding de Riboflavina sintasa



Menor tiempo Mejor forma Mayor tamaño

Crecimiento a lo largo de la línea de siembra

Cortesía: Dr. Sebastián Klinke, Instituto Leloir-CONICET, Buenos Aires Argentina

## 3. Streak Seeding



Cortesía: Dr. Sebastián Klinke, Instituto Leloir-CONICET, Buenos Aires Argentina

## 4. Crystallization in Gel



- **☑** Characteristics of the compound to crystallize
  - Low solubility
  - Solubility highly dependent with T
  - Soluble in water but very insoluble in other solvents

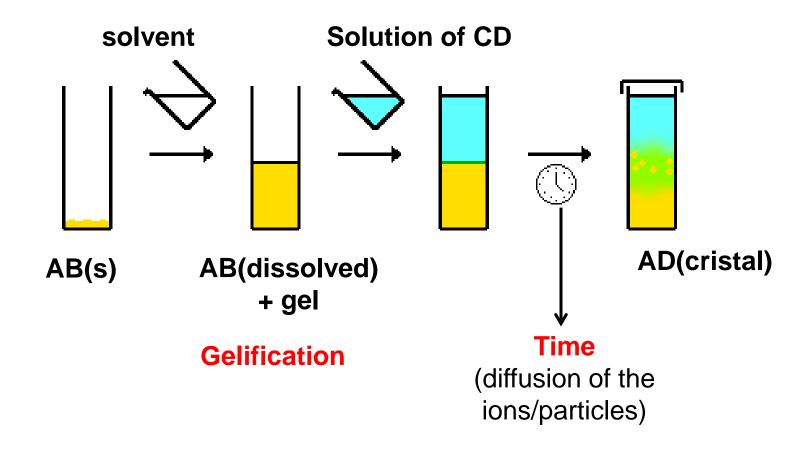
#### 4. Crystallization in Gel

- Function of the gel
- Inert media
- Diffusion control
- **Avoid convection (T and mechanical)**
- Homogeneous supersaturation
- Control over nucleation, crystal growth and quality of the crystal



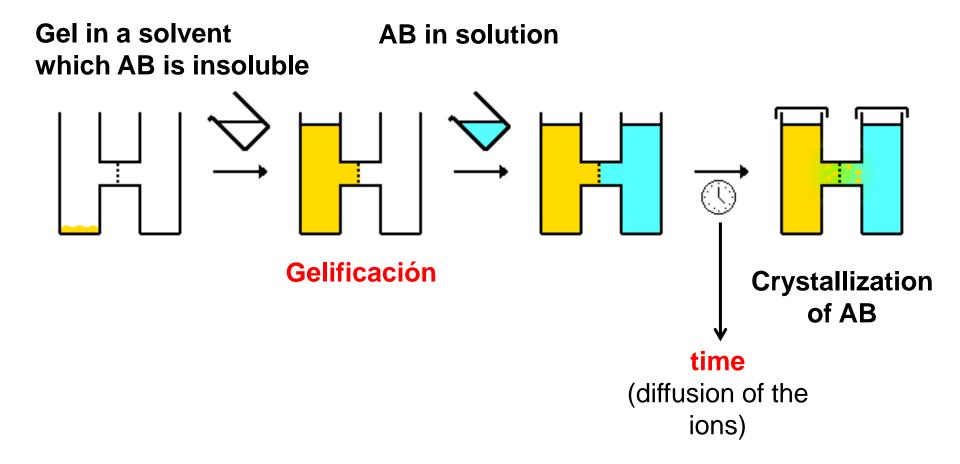
#### 4. Crystallization in Gel

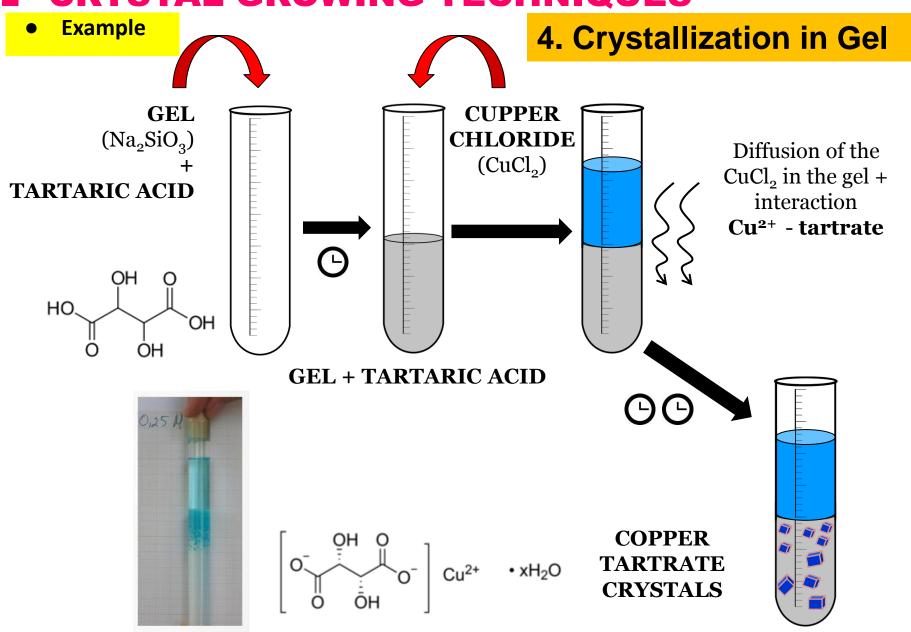
Example



#### 4. Crystallization in Gel

Example





#### 5. Chemical Modification

- ✓ Strategy for inorganic compounds tipically
- Change of the counterion in order to change solubility and crystallinity.



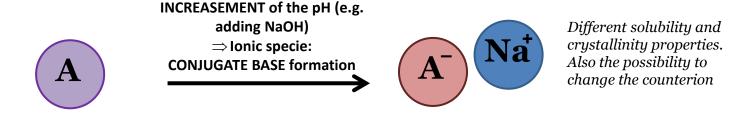
Counterions of similar volumen/size, usually give place to better crystals.



- Tip: use counterions of rigid geometries such as, triflate, BPh<sub>4</sub>, Me<sub>4</sub>N<sup>+</sup>, (Ph<sub>3</sub>P)<sub>2</sub>N<sup>+</sup>, Ph<sub>4</sub>P<sup>+</sup>
- Be sure that the counterions do not react with the sample.

#### 5. Chemical Modification

- Strategy neutral compounds that are ionizable
- The onic specie could have better supramolecular properties than the neutral, e.g. stronger intermolecular interactions

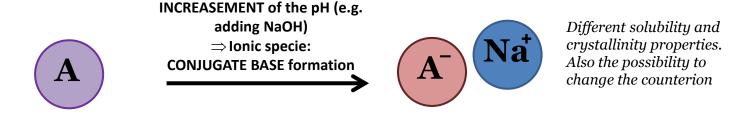


Neutral specie: ACID

- Although the resultant system is not the same as the starting material, it could be the only way to get a crystal
- Once you have the ionic specie, it is possible to change the counterion as it was illustrated in the previous slide.

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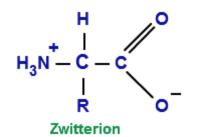


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#### 5. Chemical Modification

#### • Example:



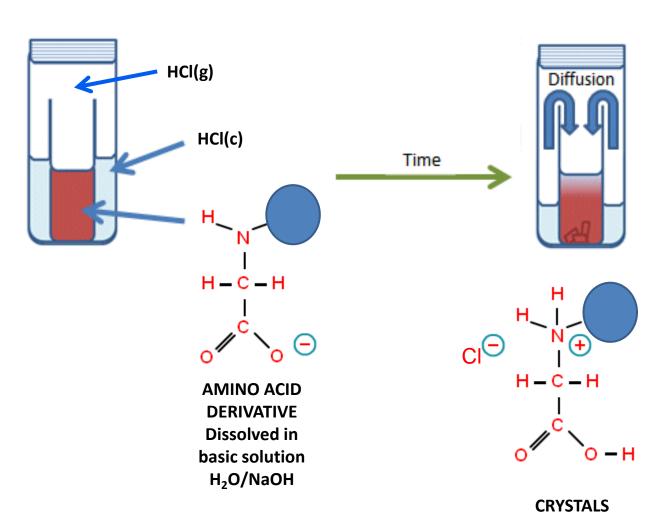
#### **SOLID FORM**

AMINO ACID / AMINO ACID

DERIVATIVE

as zwitterion

**A-B properties** 

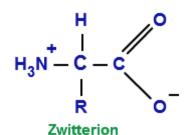


Time

#### **CRYSTAL GROWING TECHNIQUES**

#### 5. Chemical Modification

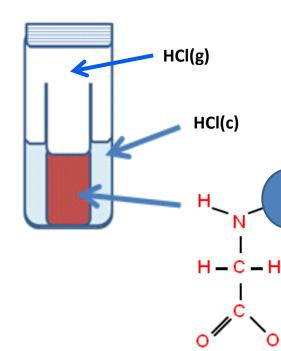
#### **Example:**



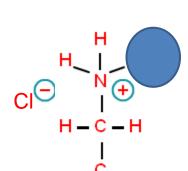
#### **SOLID FORM**

AMINO ACID / AMINO ACID **DERIVATIVE** as zwitterion

**A-B properties** 

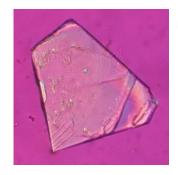


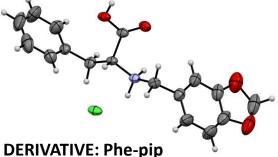




Diffusion







#### ●● REGARDING THE COMPOUND TO BE CRYSTALLIZED ●●

- Purify the compound to be crystallized before the crystallization EXPERIMENT.
- Know the physical properties such as, thermal stability and solubility.
- Develop a solubility profile of the compound of interest.
- Use clean material
- Test different crystallization conditions in parallel.
- Use enough material (do not use low mass)

#### ◆ REGARDING YOUR ACTTITUD ◆ ●

- Crystals growth of is a difficult art, unpredictable, takes a long time and without guarantee of success.
- The best crystallization conditions are not known in advance. Therefore, it is important to try different techniques and variables.
- The quality and precision of the results obtained from the crystals (crystal structure) depends directly on the quality of the crystals. Therefore, consider crystal growth strategies as research projects themselves.
- To be successful you need time, effort and a lot lot of patience!

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